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PATENT  
ATTORNEY DOCKET NO.: 040894-5726

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Kiyoshi YAJIMA et al. ) Confirmation No.: 3489  
Serial No.: 09/964,677 ) Examiner: Unassigned  
Filed: September 28, 2001 ) Group Art Unit: 2644  
For: VOICE RECOGNITION SYSTEM )

Commissioner for Patents  
Washington, D.C. 20231

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Sir:

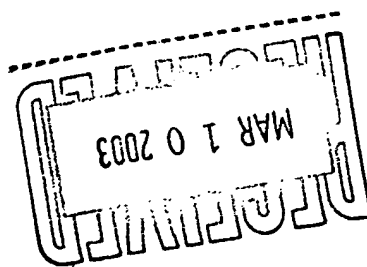
**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO Form 1449. To the best of the undersigned's knowledge, this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Accordingly, Applicants do not believe that a fee is due for filing this paper.

The listed documents were cited in an European Search Report dated November 26, 2002 in a related European patent application. A copy of the European Search Report is attached hereto.

Copies of the listed documents are attached.

Applicants request that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached PTO Form 1449.



This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each of all of the listed documents are material or constitute "Prior Art." If it should be determined that any of the listed documents do not constitute "Prior Art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the document be applied against the claims of the present application.

**EXCEPT** for issue fees payable under 37 C.F.R. § 1.18, the Commissioner is hereby authorized by this paper to charge any additional fees during the entire pendency of this application including fees due under 37 C.F.R. §§ 1.16 and 1.17 which may be required, including any required extension of time fees, or credit any overpayment to Deposit Account 50-0310. This paragraph is intended to be a CONSTRUCTIVE PETITION FOR EXTENSION OF TIME in accordance with 37 C.F.R. § 1.136(a)(3).

Respectfully submitted,

**MORGAN, LEWIS & BOCKIUS LLP**

By: 

Robert J. Goodell  
Reg. No. 41,040

Dated: March 4, 2003

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202-739-3000

## INFORMATION DISCLOSURE CITATION

Attorney Docket No.  
040894-5726

Application No.: 09/964,677

(Use several sheets if necessary)  
PTO Form 1449

Applicant(s): Kiyoshi YAJIMA et al.

PAGE 1 of 1

Filing Date: September 28, 2001

Group Art Unit: 2644

## U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date

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## FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Sub Class	<u>Translation</u> YES NO	
EP 0886 263 A2	Dec. 12, 1998	Europe				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	M. Shozakai et al., "A Non-Iterative Model-Adaptive E-CMN/PMC Approach for Speech Recognition in Car Environments," 5th European Conference on Speech Communication and Technology, <i>Eurospeech '97</i> , Vol. 1 of 5, September 22-25, 1997, pp. 287-290. (Abstract)

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.